Attorney's Docket No.: 042390P12759C

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	ation of:)	
	Robert Chau et al.) Examiner: Not you	9
Serial No.:	Not yet assigned) Art Unit: Not ye	t assigned
Filed:	Herewith)	
SEMICOND HIGH-K GA	THOD FOR MAKING A OUCTOR DEVICE HAVING A TE DIELECTRIC Continuation of Application of:) } 	
Serial No:	10/082,530		
Filed:	February 22, 2002 ner for Patents		
P.O. Box 14		•	
Alexandria,	VA 22313-1450		

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

<u>X</u>	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: <u>7///</u>, 2003

Michael A. Bernadicou Reg. No. 35, 934

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300 Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known				
Application Number	Not yet assigned			
Filing Date	Herewith			
First Named Inventor:	Robert Chau et al.			
Group Art Unit	Not yet assigned			
Examiner Name	Not yet assigned			
Attorney Docket Number	042390P12759C			

Sheet		1 of 2 Attorney Docket Nu		mber	nber 042390P12759C			
				U.S. PATE	NT DOCUMENTS			
Exam. Initial*	Cite No.1	U.S. Patent	Document	Name of Patentee or Applicant Of Cited Document Of Cited Document MM-DD-YY				Pages, Columns, Lines, Where Relevant
miliai	NO.	Number Code ²	Kind (If known)				Passages or Relevant Figures Appear	
		6,475,874	B2	Xia	ing et al.	11.	/5/2002	
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Exam. Initial*	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (If known)		Kind Code ⁵ of Cited Document		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner	Date Considered	
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Substitute for Form 1449A/PTO (Modified)		Compl	ete if Known			
INFORMATION DISCLOSURE		Application Number	Not yet assigned			
		Filing Date	Herewith			
STATEMENT BY APPLICANT		First Named Inventor:	Robert Chau et al.			
	(use as n	nany sheets as nece	essary)	Group Art Unit	Not yet assigned	
				Examiner Name	Not yet assigned	- 1
Sheet	2	of	2	Attorney Docket Number	042390P12759C	
		ОТНЕ	R ART – NO PATE	NT LITERATURE DOCUMEN	TS	
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				
			je et al., "High-Frequ te Dieletrics", IEEE	ency Response of 100nm Inte 2001	egrated CMOS Transistor	
		Robert Chau et	t al., "A 50nm Deplet	red-Substrate CMOS Transisto	or (DST), IEEE 2001	
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